

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/016,128
Applicant : Durward I. Faries Jr., et al.
Confirmation No. : 4172
Filed : December 17, 2001
TC/A.U. : 3767
Examiner : Witczak, Catherine
Customer No. : 27896
Docket No. : 1322.0040C
Title : Method and Apparatus for Heating Solutions within
Intravenous Lines to Desired Temperatures during Infusion

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 & 1.98

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the patentability of the above-identified patent application, the Applicant would like to direct the Examiner's attention to the documents listed on the enclosed Information Disclosure Citation Form (PTO/SB/08A).

Applicant hereby submits the attached IDS under:

☐ 37 C.F.R. 1.97(b) (i.e., within three months of the filing date of the application; within three months of the date of entry of the national stage application; before the mailing of a first Office action; or before the mailing of a first Office action after the filing of a request for continued examination).

☒ 37 C.F.R. 1.97(c) (i.e., after the mailing of a first Office action, but before the close of prosecution). The IDS is accompanied by *one* of: The appropriate statement (indicated on Form PTO/SB08a) or (2) the fee set forth in § 1.17(p).

☐ 37 C.F.R. 1.97 (d) (i.e., after the close of prosecution, but on or before payment of the issue fee). The IDS is accompanied by *both* of (1) the appropriate statement (indicated on Form PTO/SB08a) and the fee set forth in § 1.17(p).

☐ The IDS cites foreign documents. Pursuant to 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance is provided as indicated below:

☐ Enclosed is a copy of a non-English publication(s) _____. Applicant submits an English-language version of the search report or action, which cites such non-English language publication(s), as well as indicates the degree of relevance found by the foreign office.

☐ Enclosed is a copy of a non-English publication(s) _____. Applicant submits an English language abstract of the non-English publication(s).

☐ Other:

☐ The IDS cites foreign patent documents and/or non-patent publications. Applicant submits copies of the publications.

☐ Pursuant to 37 C.F.R. 1.98(a)(2)(iii), enclosed is a copy of pending patent Application Serial No. _____.

☐ No copies of the non-English or non-patent publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. _____, to which the above-identified application claims priority under 35 U.S.C. §120.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The Commissioner is hereby authorized to charge payment of any fees required for the above-identified application or credit any overpayment to Deposit Account No. 05-0460.

Dated: **March 20, 2007**

Respectfully submitted by:

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